

T7 Release 14.1

T7 XML Report Modification Notes

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Table of Contents

1	Introduction	6
2	New Reports	7
2.1	PM701 Xetra Retail Liquidity Provider ETH	7
3	Changes to display of numeric and numeric signed fields in dedicated reports	8
4	Changed Reports	9
4.1	List of reports on changes in layouts	9
4.2	List of reports with description change	9
5	Deleted Reports	11
6	New Fields	12
6.1	currExchRate	12
6.2	dealIndicator	12
6.3	enableMOVMode	13
6.4	execRetailVolumeETH	13
6.5	execRetailVolumeETHAIRMO	13
6.6	execRetailVolumeShareETH	13
6.7	execRetailVolumeAIRLPETH	14
6.8	execRetailVolumeETHMtd	14
6.9	execRetailVolumeETHAIRMOMtd	14
6.10	execRetailVolumeShareETHMtd	14
6.11	execRetailVolumeAIRLPETHMtd	14
6.12	internalizationId	15
6.13	instrumentClusterETH	15
6.14	indViolRLPETHDay	15
6.15	indViolRLPVolaETHDay	16
6.16	indViolRLPETHMtd	16
6.17	indViolRLPVolaETHMtd	16
6.18	medianRMOOrderVolumeETH	17
6.19	medianRMOOrderVolumeETHMtd	17
6.20	nbrOfAIRLPETH	17
6.21	nbrOfRetailOrdersAIRLPETH	17
6.22	nbrOfRetailQuotesAIRLPETH	18
6.23	nbrOfRetailTradesAIRLPETH	18
6.24	nbrOfRetailOrdersAIRLPETHMtd	18
6.25	nbrOfRetailQuotesAIRLPETHMtd	18
6.26	nbrOfRetailTradesAIRLPETHMtd	19
6.27	prodFactor	19
6.28	qtyATWBidETHDay	19
6.29	qtyATWAskETHDay	19
6.30	qtyATWBidETHMtd	20
6.31	qtyATWAskETHMtd	20
6.32	quotePresenceETHDay	20

6.33	quotePresenceVolaETHDay	20
6.34	quotePresenceETHMtd	20
6.35	quotePresenceVolaETHMtd	21
6.36	quotePresenceETHDayCluster	21
6.37	quotePresenceVolaETHDayCluster	21
6.38	quotePresenceETHMtdCluster	21
6.39	quotePresenceVolaETHMtdCluster	22
6.40	quotingTimeETHDay	22
6.41	quotingTimeVolaETHDay	22
6.42	quotingTimeVolaETHMtd	22
6.43	quotingTimeVolaETHDayCluster	23
6.44	quotingTimeVolaETHMtdCluster	23
6.45	ratioSpreadATWToMaxSpreadETHDay	23
6.46	ratioQtyATWToMinQuoSizeAskETHDay	23
6.47	ratioSpreadATWToMaxSpreadETHMtd	24
6.48	ratioQtyATWToMinQuoSizeBidETHMtd	24
6.49	ratioQtyATWToMinQuoSizeAskETHMtd	24
6.50	relativeStrengthETH	24
6.51	relativeStrengthETHMtd	24
6.52	rlpRankingETH	25
6.53	rlpRankingETHMtd	25
6.54	spreadATWPercETHDay	25
6.55	spreadATWPercETHMtd	25
6.56	spAUserSymbol	25
6.57	spAUserPartnerID	26
6.58	transBookDat	26
6.59	transBookTime	26
6.60	tradingTimeETHDay	26
6.61	tradingTimeVolaETHDay	27
6.62	tradingTimeVolaETHMtd	27
6.63	tradingTimeVolaETHDayCluster	27
6.64	tradingTimeVolaETHMtdCluster	27
6.65	userRiskGroupStatus	28
6.66	violRLPETHDayCluster	28
6.67	violRLPVolaETHDayCluster	28
6.68	violRLPETHMtdCluster	29
6.69	violRLPVolaETHMtdCluster	29
7	Changed Fields	30
7.1	Action	30
7.2	clgInstr	30
7.3	description	31
7.4	feeTypCod	31
7.5	feeTypNam	32
7.6	sponsoredAccessUnit	33
7.7	tradeType	33
7.8	tradingRestriction	34

7.9 trdUnt.....34
7.10 violMonths.....35

8 Deleted Fields.....36

8.1 dmaFig36
8.2 deltaTAMUnderlyingProduct.....36
8.3 deltaTAMUnderlyingInstrumentID36

9 Change Log37

1 Introduction

This document provides an overview of the enhancements to the T7 XML Reports that will become effective with the introduction of T7 release 14.1. This modification announcement outlines changes as compared to T7 release 14.0. The associated T7 XML Report manual version is 2.

2 New Reports

The following chapter outlines the new reports introduced with T7 Release 14.1.

2.1 PM701 Xetra Retail Liquidity Provider ETH

Description	This report contains the Performance Measurement for Xetra Retail Liquidity Providers in the Extended Trading Hours for Early and Late Retail Trading on cluster level and on instrument level per trading day and per month-to-date. This report is available only for cash markets.
Frequency	Daily.
Availability	Member Report.

3 Changes to display of numeric and numeric signed fields in dedicated reports

Deutsche Börse is migrating its data platform for the provision of XML reports to a new technology stack. With this migration Deutsche Börse is enhancing its data platform in terms of performance. Increased transaction volumes are one of the key drivers for this migration.

During this migration, an adaptation to the content display of numeric and numeric signed fields with decimals will take place: In case there are only zeroes as decimal places, the decimal places will not be explicitly stated:

General Field Format	Format Description for example	Old Format Example	New Format Example
numeric $n [, m]$	numeric signed 5, 2	314.15 3.14 2.00 0.00	314.15 3.14 2 0
numeric signed $n [, m]$	numeric signed 5, 2	+314.15 -3.00 +0.00	+314.15 -3 +0

The migration will take place as a stepwise approach. Each new release, further reports will be migrated onto the new platform.

The reports listed below will be published with the new format for numeric/numeric signed fields starting with T7 release 14.1:

- TC545 Daily TES Maintenance
- TC600 Xetra EnLight Maintenance
- TE546 Daily Basket TES Maintenance
- TE590 CLIP Trading Indication

The complete list of reports on the new data platform is outlined in chapter 5.1 of the XML Report Reference Manual.

4 Changed Reports

4.1 List of reports on changes in layouts

The following XML reports will have field modifications starting with Release 14.1, more details are explained in chapter 6 and chapter 7 of this document and in the respective Release Notes.

- RC100 Capital Adjustment Series Report
- RD185 Auto Approval Rule Status
- RD280 Sponsored Access Unit Maintenance
- RD285 Sponsored Access Unit Status
- TC540 Daily Order Maintenance
- TC545 Daily TES Maintenance
- TC550 Open Order Detail
- TC600 Xetra EnLight Maintenance
- TC610 Xetra EnLight Best Execution Summary
- TC810 T7 Daily Trade Confirmation
- TC910 T7 Daily Match Step Activity
- TE540 Daily Order Maintenance
- TE545 Daily TES Maintenance
- TE550 Open Order Detail
- TE595 Cross and Pre-arranged Trades
- TE600 Eurex EnLight Maintenance
- TE610 Eurex EnLight Best Execution Summary
- TE810 T7 Daily Trade Confirmation
- TE910 T7 Daily Trade Activity
- TR102 Excessive System Usage Report
- TR104 Eurex Daily ESU Parameter
- TR107 Excessive System Usage Detailed Transaction Report
- TT136 Pre-trade Risk Control
- TT133 Trading Risk Events

4.2 List of reports with description change

The following report description is changed.

TR171 Short Code ID - Historical Long Code Correction Report

Old:

Description This report provides a cumulative overview of identified incorrect ShortCodeID registrations. The identified registrations possess incorrect ClientLongValues. The report is sorted by ShortCodeID and ValidFromDate. The report generation start date will be communicated separately. The report is provided per business unit and trading venue. This report is only available as XML report. This report is available for derivatives and cash markets.

Frequency Daily.

New:

Description	This report provides a cumulative overview of identified incorrect ShortCodeID registrations. The identified registrations possess incorrect ClientLongValues. The report is sorted by ShortCodeID and ValidFromDate. The report is provided per business unit and trading venue. This report is only available as XML report. This report is available for derivatives and cash markets.
Frequency	Upon Request

5 Deleted Reports

The following report will be deleted with the introduction of T7 Release 14.1.

- TR170 Short Code ID - Historical Correction Report

6 New Fields

6.1 currExchRate

Description: This field displays the currency exchange rate.

Format: numeric 12, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.2 dealIndicator

Description: This field indicates whether order is entered by DMA or SpA.

Format: alphanumeric 1

Valid Values	Decodes	Description
0	None	None
1	DMA	Direct Market Access
2	SpA	Sponsored Access

Where used:

- RD185 Auto Approval Rule Status
- TC540 Daily Order Maintenance
- TC545 Daily TES Maintenance
- TC550 Open Order Detail
- TC600 Xetra EnLight Maintenance
- TC610 Xetra EnLight Best Execution Summary
- TC810 T7 Daily Trade Confirmation
- TE540 Daily Order Maintenance
- TE545 Daily TES Maintenance
- TE550 Open Order Detail
- TE595 Cross and Pre-arranged Trades
- TE600 Eurex EnLight Maintenance
- TE610 Eurex EnLight Best Execution Summary
- TE810 T7 Daily Trade Confirmation.

6.3 enableMOVMode

Description: This field indicates whether the maximum order value check is mandatory for a SpA Unit or not.

Format: alphanumeric 1

Valid Values	Decodes	Description
0	N	No
1	Y	Yes

Where used:

- RD280 Sponsored Access Unit Maintenance
- RD285 Sponsored Access Unit Status.

6.4 execRetailVolumeETH

Description: This field displays the executed volume of matched RLP quotes and RLP orders of this RLP in ETH in one instrument on this trading day.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.5 execRetailVolumeETHAIRMO

Description: This field displays the executed volume of RMO orders of all RMO traders in ETH in one instrument on this trading day.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.6 execRetailVolumeShareETH

Description: This field displays the share of executed retail volume in ETH of this RLP compared to the executed retail volume of all RMOs in ETH in one instrument on this trading day.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.7 execRetailVolumeAIIRLPETH

Description: This field displays the executed volume of all RLPs in ETH in one instrument on this trading day.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.8 execRetailVolumeETHMtd

Description: This field displays the executed volume of matched RLP quotes and RLP orders of this RLP in ETH in one instrument month-to-date.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.9 execRetailVolumeETHAIRMOMtd

Description: This field displays the executed volume of RMO orders of all RMO traders in ETH in one instrument month-to-date.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.10 execRetailVolumeShareETHMtd

Description: This field displays the share of executed retail volume in ETH of this RLP compared to the executed retail volume of all RMOs in ETH in one instrument month-to-date.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.11 execRetailVolumeAIIRLPETHMtd

Description: This field displays the executed volume of all RLPs in ETH in one instrument month-to-date.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.12 internalizationId

Description: This field contains the Broker Internalization ID used for Auction Volume Discovery orders.

Format: numeric 10

Where used:

- TC540 Daily Order Maintenance
- TC550 Open Order Detail.

6.13 instrumentClusterETH

Description: This field displays the instrument clusters for aggregating Performance Measurement results in Retail Early and Late trading (ETH).

Format: alphanumeric 3

Valid Values	Decodes	Description
EQU	EQU	Equities.
ETP	ETP	ETP (ETF, ETC, ETN).

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.14 indViolIRLPETHDay

Description: This flag indicates whether the quoting for the respective ISIN contributes to the fulfillment of the minimum requirements for the instrument cluster on this trading day. Volas.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.15 indViolRLPVolaETHDay

Description: This flag indicates whether the quoting for the respective ISIN contributes to the fulfillment of the minimum volatility interruption requirements for the instrument cluster on this trading day.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.16 indViolRLPETHMtd

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for non-vola trading times were met by this RLP month-to-date.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.17 indViolRLPVolaETHMtd

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for vola trading times were met by this RLP month-to-date.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.18 medianRMOOrderVolumeETH

Description: This field displays the median volume in ETH of all RMO orders from all RMOs in one instrument on this trading day.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.19 medianRMOOrderVolumeETHMtd

Description: This field displays the median volume in ETH of all RMO orders from all RMOs in one instrument month-to-date.

Format: numeric 15, 4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.20 nbrOfAIIRLPETH

Description: This field displays the number of all RLPs active in ETH in one instrument on this trading day.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.21 nbrOfRetailOrdersAIIRLPETH

Description: This field displays the number of RLP orders in ETH of all RLPs in one instrument on this trading day.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.22 nbrOfRetailQuotesAllRLETH

Description: This field displays the number of RLP quotes in ETH of all RLEs in one instrument on this trading day.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.23 nbrOfRetailTradesAllRLETH

Description: This field displays the number of trades in ETH from RLE quotes and RLE orders of all RLEs in one instrument on this trading day.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.24 nbrOfRetailOrdersAllRLETHMtd

Description: This field displays the number of RLE orders in ETH of all RLEs in one instrument month-to-date.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.25 nbrOfRetailQuotesAllRLETHMtd

Description: This field displays the number of RLE quotes in ETH of all RLEs in one instrument month-to-date.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.26 nbrOfRetailTradesAIIRLPETHMtd

Description: This field displays the number of trades in ETH from RLP quotes and RLP orders of all RLPs in one instrument month-to-date.

Format: numeric 13

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.27 prodFactor

Description: This field contains a factor for the ESU limit for the respective product . This factor can increase or decrease the threshold for the given product compared to the field BaseVol accounting for liquidity and volatility in the respective product. NB: For regulatory reasons, this field name contains Vol although it is not a Volume.

Format: numeric 8, 4

Where used:

- TR102 Excessive System Usage Report
- TR104 Eurex Daily ESU Parameter
- TR107 Excessive System Usage Detailed Transaction Report

6.28 qtyATWBidETHDay

Description: This field displays the average time-weighted quantity of bid quotes in Retail Early and Late trading (ETH) of this RLP on this trading day.

Format: numeric 13,4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.29 qtyATWAskETHDay

Description: This field displays the average time-weighted quantity of ask quotes in Retail Early and Late trading (ETH) of this RLP on this trading day.

Format: numeric 13,4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.30 qtyATWBidETHMtd

Description: This field displays the average time-weighted quantity of bid quotes in Retail Early and Late trading (ETH) of this RLP month-to-date. Aggregated over the daily ATW quantities.

Format: numeric 13,4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.31 qtyATWAskETHMtd

Description: This field displays the average time-weighted quantity of ask quotes in Retail Early and Late trading (ETH) of this RLP month-to-date. Aggregated over the daily ATW quantities.

Format: numeric 13,4

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.32 quotePresenceETHDay

Description: This field displays the percentage of time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP on this trading day. Volas excluded.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.33 quotePresenceVolaETHDay

Description: This field displays the percentage of Vola time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP on this trading day.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.34 quotePresenceETHMtd

Description: This field displays the percentage of time month-to-date where the minimum requirements for Retail Early and Late trading (ETH) were met by this RLP. Volas excluded. Aggregated over the daily percentage results.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.35 quotePresenceVolaETHMtd

Description: This field displays the percentage of time month-to-date where the minimum requirements for Volas in Retail Early and Late trading (ETH) were met by this RLP. Only Vola. Aggregated on the basis of the summed up daily times.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.36 quotePresenceETHDayCluster

Description: This field displays the percentage of time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP on this trading day. Volas excluded. Aggregated on Cluster level over the daily percentage results of the instruments.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.37 quotePresenceVolaETHDayCluster

Description: This field displays the percentage of Vola time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP on this trading day. Aggregated on Cluster level over the summed up times over all instruments on this trading day.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.38 quotePresenceETHMtdCluster

Description: This field displays the percentage of time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP month-to-date. Volas excluded. Aggregated on Cluster level over the Mtd percentage results of the instruments.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.39 quotePresenceVolaETHMtdCluster

Description: This field displays the percentage of Vola time in Retail Early and Late trading (ETH) covered by valid quotes by this RLP month-to-date. Aggregated on Cluster level on the basis of the summed up daily instrument times.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.40 quotingTimeETHDay

Description: This field displays the time in Early and Late Retail trading (ETH) covered with valid quotes by this RLP on this trading day. Volas excluded.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.41 quotingTimeVolaETHDay

Description: This field displays the Vola time in Early and Late Retail trading (ETH) covered with valid quotes by this RLP on this trading day.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.42 quotingTimeVolaETHMtd

Description: This field displays the Vola time in Early and Late Retail trading (ETH) covered with valid quotes by this RLP month-to-date. Aggregation by summing up daily times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.43 quotingTimeVolaETHDayCluster

Description: This field displays the Vola time in Early and Late Retail trading (ETH) covered with valid quotes by this RLP on this trading day in all Cluster instruments. Aggregation by summing up times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.44 quotingTimeVolaETHMtdCluster

Description: This field displays the Vola time in Early and Late Retail trading (ETH) covered with valid quotes by this RLP month-to-date in all Cluster instruments. Aggregation by summing up daily instrument times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.45 ratioSpreadATWToMaxSpreadETHDay

Description: This field displays the ratio of the ATW spread in Retail Early and Late trading (ETH) by this RLP on this trading day to the Maximum Spread, both in percentage.

Format: numeric signed 10, 5

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.46 ratioQtyATWToMinQuoSizeAskETHDay

Description: This field displays the ratio of the ask ATW quantity in Retail Early and Late trading (ETH) by this RLP on this trading day to the Minimum Quotation Size.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.47 ratioSpreadATWToMaxSpreadETHMtd

Description: This field displays the ratio of the ATW spread in Retail Early and Late trading (ETH) by this RLP month-to-date to the Maximum Spread, both in percentage.

Format: numeric signed 10, 5

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.48 ratioQtyATWToMinQuoSizeBidETHMtd

Description: This field displays the ratio of the bid ATW quantity in Retail Early and Late trading (ETH) by this RLP month-to-date to the Minimum Quotation Size.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.49 ratioQtyATWToMinQuoSizeAskETHMtd

Description: This field displays the ratio of the ask ATW quantity in Retail Early and Late trading (ETH) by this RLP month-to-date to the Minimum Quotation Size.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.50 relativeStrengthETH

Description: This field displays the share (quotient) of executed Retail volume in ETH of this RLP compared to the executed Retail volume in ETH of all RLPs in one instrument on this trading day.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.51 relativeStrengthETHMtd

Description: This field displays the share (quotient) of executed Retail volume in ETH of this RLP compared to the executed Retail volume in ETH of all RLPs in one instrument month-to-date.

Format: numeric 5, 2

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.52 rlpRankingETH

Description: This field displays the ranking of this RLP concerning relative Strength in ETH among all RLPs in this instrument on this trading day. The strongest RLP has the ranking 1.

Format: numeric 3

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.53 rlpRankingETHMtd

Description: This field displays the ranking of this RLP concerning relative Strength in ETH among all RLPs in this instrument month-to-date. The strongest RLP has the ranking 1.

Format: numeric 3

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.54 spreadATWPercETHDay

Description: This field displays the average time-weighted quote spread in percent in Retail Early and Late trading (ETH) by this RLP on this trading day.

Format: numeric signed 10, 5

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.55 spreadATWPercETHMtd

Description: This field displays the average time-weighted quote spread in percent in Retail Early and Late trading (ETH) by this RLP month-to-date. Aggregated over the daily ATW spreads.

Format: numeric signed 10, 5

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.56 spAUserSymbol

Description: This field indicates the name of Sponsored Access Unit as registered in SAP.

Format: alphanumeric 5

Where used:

- RD280 Sponsored Access Unit Maintenance
- RD285 Sponsored Access Unit Status

6.57 spAUserPartnerID

Description: This field indicates the ID of the Sponsored Access Unit as registered in SAP.

Format: numeric 7

Where used:

- RD280 Sponsored Access Unit Maintenance
- RD285 Sponsored Access Unit Status

6.58 transBookDat

Description: This field displays the date of the OTC Trade Upload when the OTC Trade Upload was negotiated outside of T7.

Format: DateFormat

Where used:

- TC810 T7 Daily Trade Confirmation

6.59 transBookTime

Description: This field displays the time of the OTC Trade Upload when the OTC Trade Upload was negotiated outside of T7.

Format: Timeformat18

Where used:

- TC810 T7 Daily Trade Confirmation

6.60 tradingTimeETHDay

Description: This field displays the entire trading time in Early and Late Retail trading (ETH) on this trading day. Volas excluded.

Format: TimeFormat

Where used:

- TC810 T7 Daily Trade Confirmation

6.61 tradingTimeVolaETHDay

Description: This field displays the entire Vola time in Early and Late Retail trading (ETH) on this trading day.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.62 tradingTimeVolaETHMtd

Description: This field displays the entire Vola time in Early and Late Retail trading (ETH) month-to-date. Aggregation by summing up daily times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.63 tradingTimeVolaETHDayCluster

Description: This field displays the entire Vola time in Early and Late Retail trading (ETH) on this trading day in all Cluster instruments. Aggregation by summing up times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.64 tradingTimeVolaETHMtdCluster

Description: This field displays the entire Vola time in Early and Late Retail trading (ETH) month-to-date in all Cluster instruments. Aggregation by summing up daily instrument times.

Format: TimeFormat

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.65 userRiskGroupStatus

Description: This field indicates whether trading is enabled or disabled for a user risk group.

Format: alphanumeric 1

Valid Values	Decodes	Description
0	FALSE	Disabled
1	TRUE	Enabled

Where used:

- TT136 Pre-trade Risk Control.

6.66 violRLPETHDayCluster

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for non-vola trading times were met by this RLP. Day per cluster.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.67 violRLPVolaETHDayCluster

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for non-vola trading times were met by this RLP. Month-to-date per cluster.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.68 violRLETHMtdCluster

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for non-vola trading times were met by this RLP. Month-to-date per cluster.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

6.69 violRLEVolaETHMtdCluster

Description: This flag indicates whether the minimum requirements for Retail Early and Late Trading (ETH) for vola trading times were met by this RLP. Month-to-date per cluster.

Format: alphanumeric 1

Valid Values	Decodes	Description
		No violation.
V	V	Violation.

Where used:

- PM701 Xetra Retail Liquidity Provider ETH

7 Changed Fields

7.1 Action

The field description is changed as below.

Old:

This field shows the GUI action that is required to be processed in RDS. Valid values: stopBusinessUnit stopBusinessUnitMarketSupervision releaseBusinessUnit releaseBusinessUnitMarketSupervision stopUser releaseUser stopUserMarketSupervision releaseUserMarketSupervision. .

New:

Description: This field contains all the trading risk actions triggered during the trading day that is required to be processed.

Format: alphanumeric 1

Valid Values	Decodes	Description
1	ST_BU	stopBusinessUnit
2	ST_BU_MS	stopBusinessUnitMarketSupervision
3	RE_BU	releaseBusinessUnit
4	RE_BU_MS	releaseBusinessUnitMarketSupervision
5	ST_USR	stopUser
6	ST_USR_MS	stopUserMarketSupervision
7	RE_USR	releaseUser
8	RE_USR_MS	releaseUserMarketSupervision

Where used:

- TT133 Trading Risk Events

7.2 clgInstr

The field format is changed as below

Old:

alphanumeric 2.

New:

alphanumeric 3.

The below valid value is added.

Valid Values	Decodes	Description
100	AVD	AVD Broker Internalized

Where used:

- TC810 T7 Daily Trade Confirmation

7.3 description

The field format is changed as below

Old:

alphanumeric 20.

New:

alphanumeric 60.

Where used:

- RD285 Sponsored Access Unit Status

7.4 feeTypCod

The below valid value is added.

Valid Values	Decodes	Description
206	206	BROKER STOCKS&FUNDS FRA
207	207	BROKER-MED. STOCKS&FUNDS FRA
208	208	BROKER BONDS FRA
209	209	BROKER-MED. BONDS FRA
210	210	BROKER ALL INSTR. IESTC
211	211	BANKS CONTRACT NOTE
212	212	BANKS CN STRUCT.PROD.
213	213	BROKER SUF CN FRA
214	214	BROKER SUF CN IESTC
145	145	PARTNER MODEL FEE OFP
146	146	PARTNER MODEL FEE ISS
147	147	SP-R PMODEL OFP
148	148	SP-R PMODEL ISS
857	857	RLP ETH EQU VOLUME REBATE
858	858	RLP ETH ETF VOLUME REBATE
873	873	RLP ETH DAX
874	874	RLP ETH OTHERS
875	875	RLP ETH ETF
879	879	RLP ETH EQU PERFORM REBATE
882	882	RLP ETH ETF PERFORM REBATE
883	883	MIDPOINT CREDIT PASSIVE ORDER
884	884	AVD BROKER INT - DAX
885	885	AVD BROKER INT - ETFs/ETPs
886	886	AVD BROKER INT - OTHERS

Where used:

- CB042 Fee Per Executed Order
- CB050 Fee Overall Summary
- CB060 Fee Statement
- CB080 Monthly Fee and Rebate Statement
- CB150 Fee Overall Summary T7 Boerse Frankfurt
- CB160 Fee Statement T7 Boerse Frankfurt
- CB270 Fee Per Reported OTC Trade Upload

7.5 feeTypNam

The below valid values are added.

Valid Values	Decodes	Description
PARTNER MODEL FEE OFF	PARTNER MODEL FEE OFF	Transaction Fee Partner Model Agreement Order Flow Provider
PARTNER MODEL FEE ISS	PARTNER MODEL FEE ISS	Transaction Fee Partner Model Agreement Quote Provider
SP-R PMODEL OFF	SP-R PMODEL OFF	Specialist Recompensation Partner Model Agreement Order Flow Provider
SP-R PMODEL ISS	SP-R PMODEL ISS	Specialist Recompensation Partner Model Agreement Quote Provider
BROKER FRA	BROKER FRA	Transaction price for stocks and funds at trading place Frankfurt with the exception of "broker mediated custodian to bank" transactions
BROKER-MED FRA	BROKER-MED FRA	Transaction price for stocks and funds at trading place Frankfurt "broker-mediated custodian to bank" transactions
BROKER-BND FRA	BROKER-BND FRA	Transaction price for bonds at trading place Frankfurt with the exception of "broker mediated custodian to bank" transactions
BROKER-MED BND FRA	BROKER-MED BND FRA	Transaction price for bonds at trading place Frankfurt "broker-mediated custodian to bank" transactions
BROKER ALL INSTR.IEST	BROKER ALL INSTR.IEST	Transaction prices for all instruments in inter-exchange securities transfer and clearing
BANKS CONTRACT NOTE	BANKS CONTRACT NOTE	Fees for Direct Transactions of Banks price per contract note
BANKS CN STRUCT.PROD	BANKS CN STRUCT.PROD	Fees for Direct Transactions of Banks price per contract note for Structured Products
BROKER SUF CN FRA	BROKER SUF CN FRA	System utilization fee for all instruments at trading place Frankfurt
BROKER SUF CN IESTC	BROKER SUF CN IESTC	System utilization fee for all instruments in inter exchange securities transfer and clearing
RLP ETH EQU VOLUME REBATE	RLP ETH EQU VOLUME REBATE	Volume Rebate offered for EQUs operating under the RLP ETH model
RLP ETH ETF VOLUME REBATE	RLP ETH ETF VOLUME REBATE	Volume Rebate offered for ETFs operating under the RLP ETH model
RLP ETH DAX	RLP ETH DAX	Fee for DAX instruments operating under the RLP ETH model
RLP ETH OTHERS	RLP ETH OTHERS	Fee for OTHER instruments operating under the RLP ETH model

RLP ETH ETF	RLP ETH ETF	Fee for ETFs operating under the RLP ETH model
RLP ETH EQU PERFORM REBATE	RLP ETH EQU PERFORM REBATE	Performance Rebate offered for EQUs operating under the RLP ETH model
RLP ETH ETF PERFORM REBATE	RLP ETH ETF PERFORM REBATE	Performance Rebate offered for ETFs operating under the RLP ETH model
MIDPOINT CREDIT PASSIVE ORDER	MIDPOINT CREDIT PASSIVE ORDER	Credit for Midpoint Passive Orders
AVD BROKER INT DAX	AVD BROKER INT DAX	Broker Internalized Auction Volume Discovery Orders in instrument type DAX
AVD BROKER INT ETFs/ETPs	AVD BROKER INT ETFs/ETPs	Broker Internalized Auction Volume Discovery Orders in instrument type ETFs/ETPs
AVD BROKER INT OTHERS	AVD BROKER INT OTHERS	Broker Internalized Auction Volume Discovery Orders in instrument type Others

Where used:

- CB042 Fee Per Executed Order
- CB050 Fee Overall Summary
- CB060 Fee Statement
- CB080 Monthly Fee and Rebate Statement
- CB150 Fee Overall Summary T7 Boerse Frankfurt
- CB160 Fee Statement T7 Boerse Frankfurt

7.6 sponsoredAccessUnit

The field format is changed as below

Old:

alphanumeric 20.

New:

alphanumeric 30.

Where used:

- RD280 Sponsored Access Unit Maintenance
- RD285 Sponsored Access Unit Status

7.7 tradeType

The below valid value is added.

Valid Values	Decodes	Description
K	AVD	Auction Volume Discovery

Where used:

- TC810 T7 Daily Trade Confirmation
- TC910 T7 Daily Match Step Activity
- TE810 T7 Daily Trade Confirmation
- TE910 T7 Daily Trade Activity

7.8 tradingRestriction

The below valid values are added.

Valid Values	Decodes	Description
8	AVO	AVD Opening Auction only
9	AVI	AVD Intraday Auction only
10	AVC	AVD Closing Auction only

Where used:

- TC540 Daily Order Maintenance
- TC550 Open Order Detail
- TC810 T7 Daily Trade Confirmation
- TE540 Daily Order Maintenance
- TE550 Open Order Detail
- TE810 T7 Daily Trade Confirmation

7.9 trdUnt

The field format is changed as below

Old:

numeric 8, 4.

New:

numeric 10, 4.

Where used:

- RC100 Capital Adjustment Series Report

7.10 violMonths

The field format and description are changed as below

Format:

Old:

alphanumeric 1.

New:

numeric 5

Description:

Old:

This field displays the number of months where minimum requirements were not met by Designated Sponsor in a row

New:

This field displays the number of months where minimum requirements were not met in a row

Where used:

- PM100 Performance Report Equities Designated Sponsor
- PM200 Performance Report ETFs and ETPs Designated Sponsor
- PM300 Compliance Report Equities Designated Sponsor
- PM400 Compliance Report ETFs & ETPs Designated Sponsor
- PM701 Xetra Retail Liquidity Provider ETH
- PM810 Individual Issuer-Designated Sponsor Report ETFs & ETPs
- PM820 Individual Designated Sponsor-Issuer Report ETFs & ETPs

8 Deleted Fields

List of deleted fields in Release 14.1 are as below.

8.1 dmaFlg

The field is deleted from the reports below.

Where used:

- RD185 Auto Approval Rule Status
- TC540 Daily Order Maintenance
- TC545 Daily TES Maintenance
- TC550 Open Order Detail
- TC600 Xetra EnLight Maintenance
- TC610 Xetra EnLight Best Execution Summary
- TC810 T7 Daily Trade Confirmation
- TE540 Daily Order Maintenance
- TE545 Daily TES Maintenance
- TE550 Open Order Detail
- TE595 Cross and Pre-arranged Trades
- TE600 Eurex EnLight Maintenance
- TE610 Eurex EnLight Best Execution Summary
- TE810 T7 Daily Trade Confirmation

8.2 deltaTAMUnderlyingProduct

The field is deleted from the report below.

Where used:

- TE545 Daily TES Maintenance

8.3 deltaTAMUnderlyingInstrumentID

The field is deleted from the report below.

Where used:

- TE545 Daily TES Maintenance

9 Change Log

Version	Date	Log entry
1	Jan 2026	Report Manual for Release 14.1
2	April 2026	Report Manual for Release 14.1 with Fee Type code and Fee Type name updates