

A. Registration Obligation for Third-Party Software and Independent Software Providers

With regard to the registration of Third-Party Software and the registration of Independent Software Providers ("ISV"), the Management Board of the Frankfurter Wertpapierbörse ("FWB") determines the following pursuant to § 36 Paragraph 1 Sentence 4 of the Exchange Rules for the Frankfurter Wertpapierbörse ("Exchange Rules"):

Registrations shall be made via the "ISV Registration", "Software Registration" and "Software Selection" applications which are available in the Member Section. The Member Section can be accessed using the following link: <https://membersection.deutsche-boerse.com/index.html>

Third-Party Software, which an Admitted Company obtains from an ISV, and which is intended for connection via **ETI and FIX LF sessions** to the Exchange EDP ("**Interfaces**") may only be registered if the ISV has also registered with the FWB. Additionally, Admitted Companies that want to connect self-developed software to the Exchange EDP via the Interfaces, must register such software using the "Software Registration" application. Following successful registration, Admitted Companies shall ensure the selection of the Third-Party Software via the "Software Selection" application and its assignment to the Interfaces used by them.

1. Registration of Independent Software Providers

ISVs may register via the "ISV Registration" application in the Member Section. In order to do so, they must create a user account and provide the requested company data. In addition, the ISV shall name a central contact person who will, after registration, be responsible for managing the ISV's user accounts and assigning authorisations.

2. Registration of Third-Party Software

The "Software Registration" application offers Admitted Companies and ISVs to provide information about their software, including name, relevant trading venue, environment (simulation and production) and supported functionalities in front, middle and back-office operations. The application also offers functionalities for copying existing software registrations for additional trading venues and for decommissioning software, if necessary.

ISVs shall register any software to be provided to Admitted Companies that is intended for connection via Interfaces to the Exchange EDP via the "Software Registration" application in the Member Section.

3. Selection and Assignment of Third-Party Software

Admitted Companies must select Third-Party Software that is to be connected to the Exchange EDP via the Interfaces using the "Software Selection" application prior to its connection to the Exchange EDP and then assign it to the Interfaces used by their company. In order to select a software, it must have been registered using the "Software Registration" application.

B. Testing of Third-Party Software

With regard to the testing of Third-Party Software, the Management Board of the FWB specifies the following pursuant to § 36 Paragraph 2 Sentence 2 of the Exchange Rules:

1. Testing of Third-Party Software shall take place in a suitable test environment. The Management Board of the FWB provides the Admitted Companies and ISVs with a test environment.
2. Testing of Third-Party Software must fulfil the requirements within the meaning of Article 9 of Delegated Regulation (EU) 2017/584 as of 14 July 2016 in its current version ("RTS 7").
3. By assigning a Third-Party Software or a software developed by an Admitted Company itself to a new or existing Interface or by issuing standard Readiness Statements in the context of software releases, the Admitted Company confirms to the Management Board of the FWB that the corresponding software has been tested in accordance with Article 9 RTS 7 and that it does not contribute to or create market disrupting trading conditions.